Doc Code: OATH

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DECLARATION FOR UTILITY OR	Number	PU4804USw				
DESIGN	First Named Inventor	Shewchuk				
PATENT APPLICATION	COMPLETE IF KNOWN					
(37 CFR 1.63)	Application Number	10/543,046				
Declaration Declaration Submitted OR Submitted after Initial	Filing Date	July 21, 2005				
With Initial Filing (surcharge	Art Unit	1656				
Filing (37 CFR 1.16 (f)) required)	Examiner Name	D. Steadman				
)-CRYSTAL					
	• • • • • • • • • • • • • • • • • • • •					
•	e Invention)					
the application of which is attached hereto						
OR						
was filed on (MM/DD/YYYY) 01/20/2004	as United States Ap	plication Number or PCT International				
Application Number PCT/US2004/001291 and was amended on (MM/DD/YYYY) (if applicable).						
I hereby state that I have reviewed and understand the content amended by any amendment specifically referred to above.	I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment specifically referred to above.					
I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.						

Authorization To Permit Access To Application by Participating Offices

If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified application is filed access to the above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, or other intellectual property office in which a foreign application claming priority to the above-identified application is filed to have access to the application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the application-as-filed with respect to: 1) the above-identified application, 2) any foreign application to which the above-identified application claims priority under 35 USC 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the above-identified US application, and 3) any U.S. application from which benefit is sought in the above-identified application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Offices.

[Page 1 of 3]

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DECLARATION — Utility or Design Patent Application						
Claim of Foreign Priority	Benefits		•			
I hereby claim foreign priority inventor's or plant breeder's ri country other than the United application for patent, inventor before that of the application of	ghts certificate(States of Ameri 's or plant bree	s), or 365(a) of any PCT into ca, listed below and have als der's rights certificate(s), or a	ernational application v so identified below, by	which designated at least one checking the box, any foreign		
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO		
Additional foreign ap	plication numbe	rs are listed on a supplemen	tal priority data sheet P	TO/SB/02B attached hereto.		

Country

US

supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.

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DECLARATION — Utility or Design Patent Application OR Correspondence Direct all The address address below associated with correspondence to: 23347 Customer Number: Name Address ZIP State City Email Telephone Country WARNING: Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicity available. Petitioner/applicant is advised that documents which form the record of a patent application (such as the PTO/SB/01) are placed into the Privacy Act system of records DEPARTMENT OF COMMERCE, COMMERCE-PAT-7, System name; Patent Application Files. Documents not retained in an application file (such as the PTO-2038) are placed into the Privacy Act system of COMMERCE/PAT-TM-10, System name: Deposit Accounts and Electronic Funds Transfer Profiles. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. NAME OF SOLE OR FIRST INVENTOR: A petition has been filed for this unsigned inventor Given Name (first and middle (if any)) Family Name or Surname **SHEWCHUK** Lisa Marie Date Inventor's Signature Country Citizenship State CA Durham NC US Mailing Address c/o GlaxoSmithKline, Five Moore Drive, P.O. Box 13398

1

Zip

27709-3398

State

NC

Additional inventors or a legal representative are being named on the

City

Research Triangle Park

PTO/SB/02A (07-07)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet Page 1 of 1				
Name of Additional Joint Inventor, if any	<i>y</i> :	A petition t	has been filed for this ur	nsigned	inventor
Given Name (first and middle (if any)	Family Name or Surname				
Anne Moore		HASSELL			
Inventor's Signature		<u> </u>		Date	
Durham Residence: City	NC State	US Cou	ntry	US Cilizer	ารทัр
c/o GlaxoSmithKline, Five Moore Drive, P.C). Box 13398				
Mailing Address			T	·	
Research Triangle Park City	NC State	•	27709-3398 Zip	US Count	_{rv}
Name of Additional Joint Inventor, if an		A petition I	has been filed for this ur	nsigned	inventor
Given Name (first and middle (if any))	Family Name or Sumame			
Perry Scott		BRIGNOLA			
Inventor's Signature				Date	
Alpharetta Residence: City	GA State		US Country		US Cilizenship
11850 Brisbane Drive					
Mailing Address					,
Alpharetta City	GA State		30004 Žip	US Count	ry
Name of Additional Joint Inventor, if an	y:	A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))		Family Name or Surname			
Inventor's Signature				Date	
Residence: City	State		Country		Citizenship
	Numerous and a second second second				
Mailing Address	T		1	ı	
City	State		Zip	Count	ry

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	DECLARATION FOR UTILITY OR		Attorney Docket Number	PU4804USw	
DESIGN		First Named Inventor	Shewchuk		
	PATENT APPLICATION		COMPLETE IF KNOWN		
(37 CFR 1.63)		Application Number	10/543,046		
	Declaration Declaration	Filing Date	July 21, 2005		
Submitted OR Submitted after Initial With Initial Filing (surcharge	Art Unit	1656			
	, Filing (37 CFR 1.16 (f)) required)	Examiner Name	D. Steadman		

I hereby declare that: (1) Each inventor's residence, mailing address, and citizenship are as stated below next to their name; and (2) I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:
ERBB4 CO-CRYSTAL
·
(Title of the Invention)
the application of which
is attached hereto
OR
was filed on (MM/DD/YYYY) 01/20/2004 as United States Application Number or PCT International
Application Number PCT/US2004/001291 and was amended on (MM/DD/YYYY) (if applicable).
I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment specifically referred to above,
I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.
Authorization To Permit Access To Application by Participating Offices
If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified application is filed access to the above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, or other intellectual property office in which a foreign application claiming priority to the above-identified application is filed to have access to the application.
In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the application-as-filed with respect to: 1) the above-identified application, 2) any foreign application to which the above-identified application claims priority under 35 USC 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the above-identified US application, and 3) any U.S. application from which benefit is sought in the above-identified application.
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[Page 1 of 3]

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DECLARATION — Utility or Design Patent Application						
Claim of Foreign Priority Benefits						
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.						
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO		
Additional foreign app	plication number	ers are listed on a supplemen	tal priority data sheet F	TO/SB/02B attached hereto.		

Country

US

supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.

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DECLARATION — Utility or Design Patent Application OR Correspondence Direct all The address address below correspondence to: associated with 23347 Customer Number: Name Address ZIP State City Email Telephone Country **WARNING:** Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider reducting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available. Petitioner/applicant is advised that documents which form the record of a patent application (such as the PTO/SB/01) are placed into the Privacy Act system of records DEPARTMENT OF COMMERCE. COMMERCE-PAT-7, System name: Patent Application Files. Documents not retained in an application file (such as the PTO-2038) are placed into the Privacy Act system of COMMERCE/PAT-TM-10. System name: Deposit Accounts and Electronic Funds Transfer Profiles. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. NAME OF SOLE OR FIRST INVENTOR: A petition has been filed for this unsigned inventor Family Name or Surname Given Name (first and middle [if any]) SHEWCHUK. Lisa Marie Date Inventor's Signature Citizenship Residence: City State Country CA US Durham NC Mailing Address c/o GlaxoSmithKline, Five Moore Drive, P.O. Box 13398

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DECLARATION		ADDITIONAL Supplemental S	.INVENTOR(S) heet	Paq	e1of1
Name of Additional Joint Inventor, if any	<i>y</i> :	A petition I	nas been filed for this ur	isigned	inventor
Given Name (first and middle (if any))	Family Name or	Surname			
Anne Moore	HASSELL				
Inventor's Signature Gune Moone	taneli	2		Date	09/02/08
Durham Residence: City	NC State	US Cou	ntry	US Citize	nship
c/o GlaxoSmithKline, Five Moore Drive, P.C). Box 13398		•		
Mailing Address					
Research Triangle Park City	NC State		27709-3398 Zip	US Count	ry .
Name of Additional Joint Inventor, if any		A petition t	nas been filed for this ur	ısigned	inventor
Given Name (first and middle (if any))		Family Name or Surname			
Perry Scott		BRIGNOLA			
Inventor's Signature				Date	
Alpharetta Residence: City	GA State	1	US Country		US Citizenship
11850 Brisbane Drive					
Mailing Address					
Alpharetta	GA		30004	us	
City	State		Zip	Count	ry
Name of Additional Joint Inventor, if any	<i>y</i> :	A petition t	nas been filed for this ur	signed	inventor
Given Name (first and middle (if any))		Family Name or Surname			
	·				
Inventor's Signature	-, ,			Date	
	Cana		Country		Citizanahia
Residence: City	State		Country		Citizenship
Mailing Address					
City	State		Zip	Count	ry

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DECLARATION FOR UTILITY OR DESIGN		PU4804USw
		Shewchuk
	CON	IPLETE IF KNOWN
(37 CFR 1.63)	Application Number	10/543,046
Declaration Submitted after Initial	Filing Date	July 21, 2005
Filing (surcharge	Art Unit	1656
required)	Examiner Name	D. Steadman
	TION FOR UTILITY OR DESIGN IT APPLICATION IT CFR 1.63) Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (f))	Attomey Docket Number First Named Inventor TOR 1.63) Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (f)) Attomey Docket Number First Named Inventor COA Application Number Filing Date Art Unit Filing Date

I hereby declare that: (1) Each inventor's residence, mailing address, and citizenship are as stated below next to their name; and (2) I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:
ERBB4 CO-CRYSTAL
(Title of the Invention)
the application of which
is attached hereto
OR .
was filed on (MM/DD/YYYY) 01/20/2004 as United States Application Number or PCT International
Application Number PCT/US2004/001291 and was amended on (MM/DD/YYYY) (if applicable).
I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment specifically referred to above.
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[Page 1 of 3]

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Prior Foreign Application	Country	Foreign Filing Date	Priority	Certified Copy Attached?		
Prior Foreign Application Number(s) Country Foreign Filing Date (MM/DD/YYYY) Not Claimed YES NO Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.						

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Additional inventors or a legal representative are being named on the

supplemental sheet(s) PTO/S8/02A or 02LR attached hereto.

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| A DDITIONAL INVENTORIAL

DECLARATION		Supplemen	NAL INVENTOR(5) tal Sheet	Pago 1 of 1	
Name of Additional Joint Inventor, if any	y:	A peti	tion has been filed for this	unsigned inventor	
Given Name (first and middle (if any)		Family Nam	e or Surname		
Anne Moore		HASSELL			
Inventor's Signature				Date	
Durham Residence: City	NC State		US Country	US Citizenship	
c/o GlaxoSmithKline, Five Moore Drive, P.C). Box 13398				
Mailing Address					
Research Triangle Park City	NC State		27709-3398 Zip	US Country	
Name of Additional Joint Inventor, if any	y:	A peti	tion has been filed for this	unsigned inventor	
Given Name (first and middle (if any)	·)	Family Name or Surname			
Perry Scott		BRIGNOLA			
Inventor's Signature				Date 09/22/2008.	
Alpharetta Harvest Residence: City	GA AL State		US Country	US Citizenship	
11850-Brishana-Drive 310 Blue Creek F	or.				
Mailing Address	T		1	1	
Alpharetta- Harvest City	GA AL State	•	30004 35749 Zip	Country	
Name of Additional Joint Inventor, if an	•	A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))		Family Name or Sumame			
Inventor's Signature				Oate	
Residence: City	State		Country	Citizenship	
Malting Address					
City	State		Zip	Country	

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